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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/659,581	09/09/2003	William R. Wadleigh	1842.002US1	4658
70648	7590	08/20/2009	EXAMINER	
SCHWEGMAN, LUNDBERG & WOESSNER/WMS GAMING P.O. BOX 2938 MINNEAPOLIS, MN 55402				D AGOSTINO, PAUL ANTHONY
ART UNIT		PAPER NUMBER		
3714				
NOTIFICATION DATE			DELIVERY MODE	
08/20/2009			ELECTRONIC	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	10/659,581	WADLEIGH, WILLIAM R.
	<b>Examiner</b>	<b>Art Unit</b>
	Paul A. D'Agostino	3714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 09 February 2009.
- 2a) This action is **FINAL**.                  2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-8,10-18,20-25,27-33,35-39,41-48 and 50-58 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-8,10-18,20-25,27-33,35-39,41-48 and 50-58 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 09 September 2003 is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ .                                    |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ .  | 6) <input type="checkbox"/> Other: _____ .                        |

## **DETAILED ACTION**

This responds to Applicant's Arguments/Remarks filed 02/09/2009. Claims 1, 3-4, 6-8, 17-18, 24-25, 32-33, 38-39, 44, and 46-48 are amended. Claims 9, 19, 26, 34, 40 and 49 stand cancelled. Claims 1-8, 10-18, 20-25, 27-33, 35-39, 41-48 and 50-58 are now pending in this application.

### ***Claim Rejections - 35 USC § 112***

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 1, 3-4, 6-8, 17-18, 24-25, 32-33, 38-39, 44, and 46-48 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claims recite "including full motion video" however Applicant does not disclose full motion video. Applicant defines displaying an image or a set of images to give "the appearance of full motion video, as opposed to the appearance of a still image" (Specification page 9). Thus, full motion video is new matter. Appropriate attention is required.

***Claim Rejections - 35 USC §§ 102/103***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was

not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claims 1-8, 10-13, 17-18, 20- 25, 27-33, 35-39, 41-48, and 50-58 are rejected under 35 U.S.C. 102(e) as anticipated by U.S. Patent No. 6,517,433 to Loose et al. (Loose) of record or, in the alternative, under 35 U.S.C. § 103(a) in view of Loose in view of U.S. Patent Pub. No. 2003/0027489 to Kay (Kay).

In Reference to Claims 1, 4, 7, 13, 17, 24, 32, 38, 44, and 47

Loose discloses a system displaying multiple images with a triggering event activating a bonus game in a wagering game (Fig. 7 and Col. 4 Lines 28-40) wherein each of the at least one symbol element in one or more displayed reels of a casino machine remains at least partially visible while the supplemental graphical element is displayed (Fig. 7) to display a video event overlaying the elements (Fig. 7 and Col. 2, 25-33, Col. 4, 58-67, and Col. 5, 52-67) and method comprising:

displaying on a video display a supplemental graphical element over at least one symbol element in one or more displayed reels of a casino gaming machine (Fig. 7 wherein Loose discloses a bonus game which includes a new set of video bonus reels in video image 18 Col. 4 Lines 40-67), the supplemental graphical element comprising pre-recorded video information including video of a person, place, or thing (Loose discloses video images in Fig. 9a-9b of an image crossing the video screen such that images “may be static or dynamic, and may include such graphics as payout values, a

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pay table, ... special effects, thematic scenery, and instructional information" (Col. 2 Lines 30-34) and images may be three dimensional (Col. 2 Line 66)), the displaying including overlaying in a memory storing video data pixel values of the at least one symbol element with pixel values of the supplemental graphical element (Loose discloses a memory structure of Fig. 11 that can be "several alternative types of memory structure or maybe implemented on a single memory structure Col. 5 Lines 52-66), wherein each of the at least one symbol element that is overlaid remains at least partially visible while the supplemental graphical element is displayed (Fig. 7).

If Applicant disagrees with Examiner's interpretation of Loose then the claims as amended are obvious in view of Kay.

Kay discloses the superposition of animation on the reels of fruit machines (Figs. 4a-4b and [0090]) wherein images can be spliced together to show potions of a film or video clip [0014, 0057] in order to "give the viewer the impression of an animation sequence" [0002].

It would have been obvious to one of ordinary skill in the art at the time the invention was made to employ the sliced video film clips as taught by Kay into the teachings of Loose in order to give the viewer the impression of an animation sequence.

In Reference to Claims 2, 5 and 45

Loose discloses displaying the at least one symbol element; determining, based on the at least one symbol element, whether a triggering event has occurred; and if a

triggering event has occurred, identifying the supplemental graphical element as a set of video images (Col. 4 Lines 58-67).

In Reference to Claims 3, 6, 8, 18, 25, 33, 39, 46, and 48

Loose discloses dynamically altering a size of the supplemental graphical element (Loose depicts a change in size of a graphical element of a bunch of “cherries” 29 in Figs. 9a-9b wherein the cherries are larger in Fig. 10)

If Applicant disagrees with Examiner’s interpretation of the amended claims then it would have been an obvious matter of design choice.

Loose discloses the claimed invention to include both static and dynamic images (Col. 2 Lines 30-35) that move (Fig. 9b), flash (Fig. 6), and morph (Fig. 10b) as superimposed images, except for images that increase in size. It would have been an obvious matter of design choice to also depict images that increase in size, since Applicant has not disclosed that a change in size is a special kind of special effect that solves any stated problem or is for any particular purpose and it appears that the invention would perform equally well with the array of special effects provided by Loose.

In Reference to Claims 10-11, 20-21, 27-28, 35-36, 41-42, and 50-51

Loose discloses an apparatus wherein the one or more processors causes the set of video images to be displayed by causing the set of video images to be displayed in a manner that the set of video images appears as an opaque and semi transparent

overlay over each of the one or more of the multiple game element images that are overlaid (Col. 5 Lines 23-30).

In Reference to Claims 12, 22, 29, 37, 43, and 52

Loose discloses an apparatus wherein the one or more processors further: determines whether a video image is associated with an alteration of a game element image within a game element area; and if the video image is associated with the alteration, causes an altered image to be displayed in the game element area (Col. 4 Lines 58-67 and Col. 5 Lines 1-10).

In Reference to Claim 23

Loose discloses an apparatus further comprising a money/credit input/output (I/O) device for enabling a player to obtain credits; and player input devices that enable the player to specify a bet and to initiate a spin of the multiple reels (Col. 3 Lines 26-41).

In Reference to Claims 30-31

Loose discloses a method wherein the electronic game is a game designed for execution on a wagering game machine, and causing the set of video images to be displayed comprises causing the set of video images to be displayed on a display device coupled to the wagering game machine (Col. 1 Lines 40-54).

In Reference to Claims 53-58

Loose teaches of a computer-readable medium and processing which displays supplemental graphical elements to include displaying supplemental graphical elements within a boundary determined by a component of the supplemental graphical elements. (For example, Fig. 6 "explosions" and Fig. 7 "gift boxes" both demonstrate the boundary components of the images wherein where there is not a boundary, the underlying image is revealed).

***Claim Rejections - 35 USC §103***

8. Claims 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,517,433 to Loose et al. (Loose) of record in view of U.S. Patent No. 6,375,570 to Poole (Poole) of record.

Loose discloses a system substantially equivalent to Applicant's claimed invention. However, Loose does not teach the apparatus on a portable video game system, a personal computer, and a video game system using a television set. Poole teaches of a display overlay over certain symbols resulting from a triggering condition on a portable video game system, a personal computer, and of a video game system using a television set (Col. 4 Lines 35-42) with full motion video (Col. 2 Lines 15-30) in order to create greater player entertainment and excitement.

It would have been obvious to one of ordinary skill in the art at the time of invention to employ the portable video game system, personal computer, video game system using a television set (Col. 4 Lines 35-42) with full motion video as taught by

Poole into the invention of Loose in order to create greater player entertainment and excitement.

***Double Patenting***

9. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969). A timely filed terminal disclaimer in compliance with 37 CFR 1.321 (c) or 1.321 (d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. Effective January 1, 1994, a registered attorney or agent of record may sign a terminal

disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

10. Claims 1-8, 10-18, 20- 25, 27-33, 35-39, 41-48 and 50-58 are rejected on the grounds of nonstatutory obviousness-type double patenting as being unpatentable over Claims 1-28 of U.S. Patent No. 6,517,433 to Loose et al. (Loose) and Claims 1-93 of U.S. Patent No. 7,510,475 to Loose et al. (Loose). Although the conflicting claims are not identical, they are not patentably distinct from each other because both are directed towards a gaming system that has a video overlay of the reel symbols that when triggered shows a video presentation overlaying the symbols using a motion like video or animation. Loose teaches all of the claimed invention including an overlay of game symbols that interact with the reel symbols, and of a video display triggered by a bonus condition or type of symbol. Applicant has further amended the claims to include “displaying including overlaying in a video memory storing video data.” Loose discloses a bonus game of Fig. 7 which includes a new set of video bonus reels in video image 18 (Col. 4 Lines 40-67). Further, “[t]he bonus game may depict one or more animated events and award bonuses based on an outcome, of animated events” wherein the each of the video reel symbols of Fig. 7 remains visible while a supplemental graphical element is displayed. It would require only routine skill in the art to display the underlying and overlying images from a memory storing video data of both images to conserve memory and increase speed of game play.

***Response to Arguments***

11. Applicant argues (see Applicant's Arguments/Remarks pages 17-19) that Loose fails to disclose the claims as amended. Examiner respectfully disagrees and has responded to issues of new matter, anticipation or in the alternative obviousness as part of the rejection of the claims.

***Conclusion***

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure is provided in the Notice of References Cited.

13. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

14. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul A. D'Agostino whose telephone number is (571)270-1992. The examiner can normally be reached on Monday - Friday, 7:30 a.m. - 5:00 p.m..

16. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dmitry Suhol can be reached on (571) 272-4430. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

17. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John M Hotaling II/  
Supervisory Patent Examiner, Art Unit 3714

/Paul A. D'Agostino/  
Examiner, Art Unit 3714